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02/18/02

*I hereby certify this correspondence is being deposited with the United States Postal service as First Class mail in an envelope addressed to: Box Missing Parts, U.S. Patent and Trademark Office, PO Box 2327, Arlington, VA 22202 on Jan. 8, 2002, by Linda Dupont*

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re application of	: Jan. 8, 2002
Bent, G. et al	: IBM Corp. - T81/503
Serial No. 09/998,126	: PO Box 12195
Filed: Sept. 31, 2001	: Res. Tri. Park,
For: Automatically Summarising	: North Carolina 27709
Topics in a Collection of	: Customer Number; 25259
Electronic Documents	:



Letter


Commissioner of Patents & Trademarks  
Washington, D. C. 20231

Sir:

In response to the "Notice To File Missing Parts of Nonprovisional Application" mailed Dec. 17, 2001 attached is the Declaration and Power of Attorney. The Assignment is also enclosed at this time.

Please charge the \$170.00 fee to deposit account 09-0461.

Respectfully submitted,

By   
Gregory M. Doudnikov  
Reg. No. 32,847

GMD/lld  
Enclosures

IBM Docket: GB920010072US1

COPY OF PAPERS  
ORIGINALLY FILED  
02/15/02

## IN THE UNITED STATES PATENT &amp; TRADEMARK OFFICE

In re application of : April 8, 2002  
Bent, G. . et al : IBM Corp. - T81/503  
Serial No.: 09/998,126 : PO Box 12195  
Filed: 10/31/01 : Res. Tri. Park,  
For: Automatically Summarising: North Carolina 27709  
Topics in a Collection of :  
Electronic Documents :

Letter

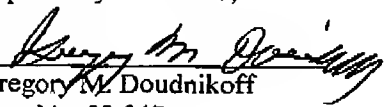
Commissioner of Patents & Trademarks  
Washington, D. C. 20231

Sir:

In response to the "Request for Substitute Papers" mailed March 21, 2002, attached are copies of "papers filed on 02/15/02 (certificate of mailing dated 01/08/02)" requested by the USPTO in the attached letter. The documents are as follows:

- 1) Notice to file Missing Parts of Nonprovisional Application
- 2) Cover Letter/Certificate of Mailing
- 3) Assignment and Cover Sheet
- 4) Declaration

Respectfully submitted,

By   
Gregory M. Doudnikoff  
Reg. No. 32,847

IBM Docket Number: GB920010072US1

**Certification of Facsimile Transmission**

Facsimile  
Number: 703-746-4060 (OIPE)

Total Pages (including this  
page): 9

Date: April 8, 2002

I hereby certify that the following papers are being transmitted by facsimile device to the identified Facsimile Number in the Patent and Trademark Office on the date written above. The total number of pages transmitted (including this page) are listed above.

  
Linda Dupont



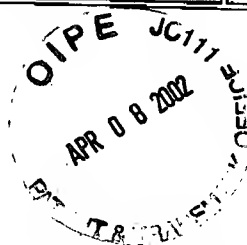
## UNITED STATES PATENT AND TRADEMARK OFFICE

MAR 25 2002

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231  
www.uspto.gov

Application Number	Filing Date	First Named Applicant	Atty. Docket No.
09/998,126	10/31/2001	Bent, Graham	GB920010072US1

25259  
IBM CORPORATION  
3039 CORNWALLIS RD.  
DEPT. T81 / B503, PO BOX 12195  
REASEARCH TRIANGLE PARK NC 27709



Title: Automatically summarising topics in a collection of electronic documents

Date Mailed: 03/21/2002

## Request for Substitute Papers

The papers filed on 02/15/02 (certificate of mailing dated 01/08/02) are no longer in condition to become part of the permanent records of the United States Patent and Trademark Office (USPTO) for this application (37 CFR 1.52(a)) due to the United States Postal Service sanitization process.

The USPTO requests that applicant provide a copy of the above-identified papers (except for any U.S. or foreign patent documents submitted with the above-identified papers) with a statement that such copy is a complete and accurate copy of the above-identified papers (signing and returning a copy of this notice will provide such a statement). The reply to this letter should be submitted to the USPTO by facsimile at the number indicated **703-746-4060(OIPE)**.

Alternatively, the reply to this letter may be hand-carried to the Customer Service Window located in Room 1B03 of Crystal Plaza Building 2, Arlington, Virginia, 22202.

The USPTO **strongly** prefers that the reply to this letter be submitted by facsimile. However, if applicant cannot submit the reply to this letter by facsimile (or hand-delivery), the reply may be mailed to: Box Duplicate OIPE, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202-2327.

This letter is not a notice under 37 CFR 1.251. However, failure to timely replay to this notice within **two (2) weeks** of the date of receipt of this letter may result in the USPTO issuing a notice under 37 CFR 1.251. **A copy of this notice should be included with the reply.**

The enclosed papers are a complete and accurate copy of the above-identified papers.

Name: Gregory M. Doudnikoff Registration No.: 32,847

Signature: *Gregory M. Doudnikoff* Date: 4/3/02

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02/15/02

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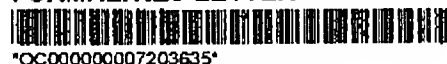
COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/998,126	10/31/2001	Graham Bent	GB920010072US1

25259  
IBM CORPORATION  
3039 CORNWALLIS RD.  
DEPT. T81 / B503, PO BOX 12195  
RESEARCH TRIANGLE PARK, NC 27709

CONFIRMATION NO. 4655

## FORMALITIES LETTER



\*OC00000007203635\*

Date Mailed: 12/17/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

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*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE